



# Transaction Reporting Offering

# ► Delta Capita

## Transaction Reporting Services

Delta Capita's Transaction Reporting Services offers a cohesive approach to help clients navigate the challenges of complex global regulatory reporting regimes and increased data quality requirements. Through our services we can provide a full solution to support you with your regulatory reporting challenges and readiness.



### Consulting & Advisory

Delta Capita offers experienced Regulatory SMEs and skilled change professionals to support clients across the lifecycle of transaction reporting compliance requirements. Services offered include accelerated readiness assessment, requirements analysis, change execution(regulatory SME/PM/BA resource support), data quality analysis, data remediation, governance, oversight and controls framework design.



### Technology Solutions

Through our range of proprietary technology and partner solutions, we offer a wide range of services from reporting assurance to reconciliation as well as a full cost-efficient end-to-end SaaS regulatory reporting solution.



### Regulatory Operations

Delta Capita provides operational capacity at scale to support all BAU tasks and activities for Transaction Reporting including data quality management, regulatory report production and processing, ACK/NACK management, and other onboarding and small change support.

# ► How Delta Capita Can Help

Delta Capita cover a wide range of jurisdictions and regulatory regimes. Utilising a combination of our consultancy and technology capabilities we can help you reach compliance in time.

## Jurisdictions & Regimes Covered

UK / EU

MIFID, EMIR, SFTR

APAC

HKMA, MAS, ASIC, JFSA

US / Canada

SEC, CFTC, Canada Reporting (CSA)

## Our Differentiators

### Regulatory SMEs and Domain Specialists

We have a large team of regulatory and compliance experts, industry SMEs as well as access to legal opinion who help our clients understand and respond to the changing regulatory landscape.

### Thought Leadership

Delta Capita SMEs actively participate in regulatory conferences, working groups, round tables, and industry leading regulatory publications, as well as having strong connections to regulatory bodies, to provide clients updated regulatory insights. We are also members of AFME.

### Accelerated Health Check

A tailored, short duration study to provide regulatory assurance for a particular regulatory obligation. Our pre-built structure, templates and proven methodology enable our clients to get an independent, expert opinion before investing in any required remediation. Outputs include a detailed gap analysis vs current state, scoping documentation, recommendation report and a remediation plan.